



# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/072,959
Filing Date	May 5, 1998
First Named Inventor	Pai-Hung Pan
Art Unit	2823
Examiner Name	G. Fourson III
Attorney Docket Number	2269-2919.4US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 C.F.R. 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. 1.116 previously filed on \_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_
- iii. ☐ Other \_\_\_\_
- b. Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of \_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.17(i) required)
- b. ☐ Other \_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any deficiency in the following fees, or credit any overpayments, to Deposit Account No. 20-1469
- i. ☒ RCE fee required under 37 C.F.R. 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_
- b. ☒ Check in the amount of \$770.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Brick G. Power	Registration No. (Attorney/Agent)	38,581
Signature		Date	August 30, 2004

## CERTIFICATE OF MAILING

Express Mail Label Number: EV348043003

Date of Deposit: August 30, 2004

Person Making Deposit: Christopher Haughton

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Mail Stop RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of: Pan**

**Serial No.:** 09/072,959

**Filed:** May 5, 1998

**For:** TECHNIQUE FOR FORMING  
SHALLOW TRENCH ISOLATION  
STRUCTURE WITHOUT CORNER  
EXPOSURE AND RESULTING  
STRUCTURE

**Confirmation No.:** 7136

**Examiner:** G. Fourson III

**Group Art Unit:** 2823

**Attorney Docket No.:** 2269-2919.4US

**NOTICE OF EXPRESS MAILING**

Express Mail Mailing Label Number: EV348043003US

Date of Deposit with USPS: August 30, 2004

Person making Deposit: Christopher Haughton

**AMENDMENT ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION**

Box RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Amendment is being filed along with a Request for Continued Examination (RCE), and is responsive to the remarks that have been presented in the Examiner's Answer in an appeal of the claim rejections that have been set forth in the above-referenced application.

This Amendment, which is being filed on Monday, August 30, 2004, by the U.S. Postal Service's Express Mail service, pursuant to 37 C.F.R. § 1.10, should be deemed to have been filed within two months of the mailing date of the Examiner's Answer since August 28, 2004, fell on a Saturday. 37 C.F.R. § 1.7.

**Amendments to the claims** are set forth in the listing of the claims, which begins on page 2 of this paper; and

**Remarks** start at page 7 of this paper.